

# Speech and Language Processing

## Chapter 12 Syntactic Parsing

### Today

- Parsing with CFGs
  - Bottom-up, top-down
  - Ambiguity
  - CKY parsing
  - (Earley)
  - Shallow

## Parsing

- Parsing with CFGs refers to the task of assigning proper trees to input strings
- Proper here means a tree that covers **all and only the elements of the input** and **has an S at the top**
- It doesn't actually mean that the system can select the correct tree from among all the possible trees

## Parsing

- As with everything of interest, parsing involves a **search** which involves the making of choices
- We'll start with some basic (meaning bad) methods before moving on to the one that you need to know

## For Now

- Assume...
  - You have all the words already in some buffer
  - The input isn't POS tagged
  - We won't worry about morphological analysis
  - All the words are known
- These are all problematic in various ways, and would have to be addressed in real applications.

## Top-Down Search

- Since we're trying to find trees rooted with an *S* (Sentences), why not start with the rules that give us an *S*.
- Then we can work our way down from there to the words.
- "Book that flight"

## Bottom-Up Parsing

- Of course, we also want trees that cover the input words. So we might also start with trees that link up with the words in the right way.
- Then work your way up from there to larger and larger trees.

## *"The old dog the footsteps of the young."*

|               |                                     |
|---------------|-------------------------------------|
| S → NP VP     | VP → V                              |
| S → Aux NP VP | VP → V PP                           |
| S → VP        | PP → Prep NP                        |
| NP → Det Nom  | N → old   dog   footsteps   young   |
| NP → PropN    | V → dog   eat   sleep   bark   meow |
| Nom → Adj N   | Aux → does   can                    |
| Nom → N       | Prep → from   to   on   of          |
| Nom → N Nom   | PropN → Fido   Felix                |
| Nom → Nom PP  | Det → that   this   a   the         |
| VP → V NP     | Adj → old   happy   young           |

## Top-Down and Bottom-Up

- **Top-down**
  - Only searches for trees that can be answers (i.e. S's)
  - But also suggests trees that are not consistent with any of the words
- **Bottom-up**
  - Only forms trees consistent with the words
  - But suggests trees that make no sense globally

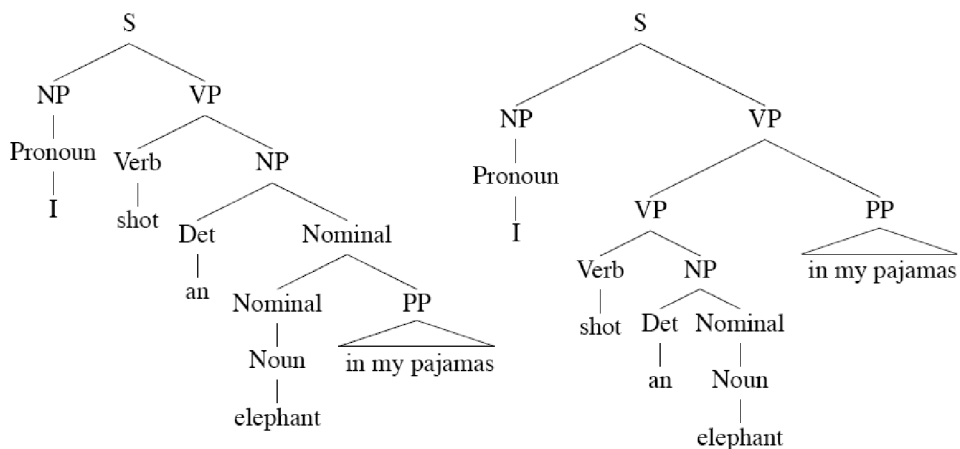
## Control

- Of course, in both cases we left out how to keep track of the search space and how to make choices
  - Which node to try to expand next
  - Which grammar rule to use to expand a node
- One approach is called **backtracking**.
  - Make a choice, if it works out then fine
  - If not then back up and make a different choice

# Problems

- Even with the best filtering, backtracking methods are doomed because of two inter-related problems
  - Ambiguity
  - Shared subproblems

# Ambiguity



## Example types of ambiguity

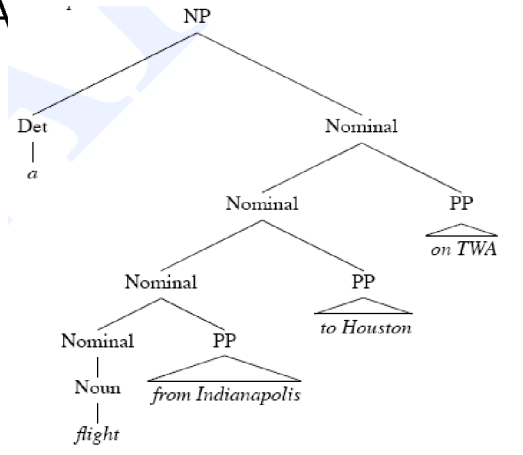
- POS
- Attachment
  - PP
  - Coordination (*old dogs and cats*)

## Shared Sub-Problems

- No matter what kind of search (top-down or bottom-up or mixed) that we choose.
  - We don't want to redo work we've already done.
  - Unfortunately, naïve backtracking will lead to duplicated work.

## Shared Sub-Problems

- Consider
  - A flight from Indianapolis to Houston on TWA



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## Shared Sub-Problems

- Assume a top-down parse making choices among the various Nominal rules.
- In particular, between these two
  - Nominal -> Noun
  - Nominal -> Nominal PP
- Statically choosing the rules in this order leads to the following bad results...

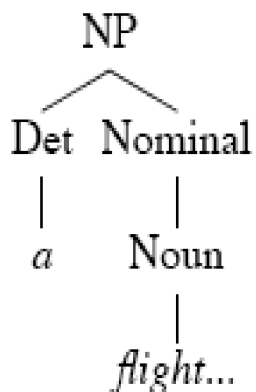
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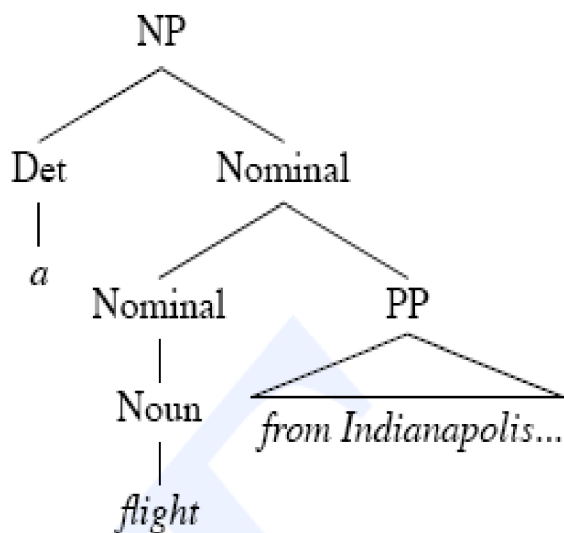
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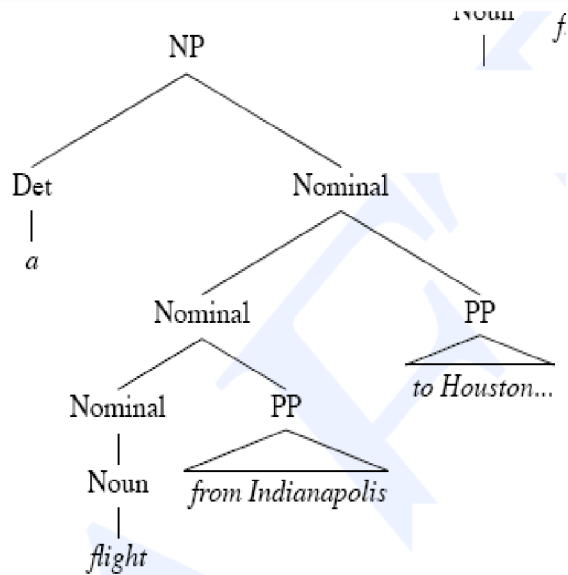
## Shared Sub-Problems



## Shared Sub-Problems



# Shared Sub-Problems

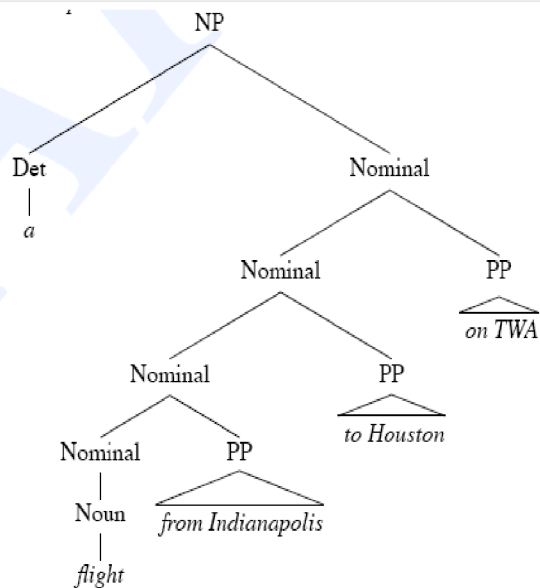


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# Shared Sub-Problems



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## Dynamic Programming

- DP search methods fill tables with partial results and thereby
  - Avoid doing avoidable repeated work
  - Solve exponential problems in polynomial time
  - Efficiently store ambiguous structures with shared sub-parts.
- Two approaches roughly correspond to bottom-up and top-down approaches.
  - CKY
  - Earley

## CKY Parsing

- First we'll limit our grammar to epsilon-free, binary rules (more later)
- Consider the rule  $A \rightarrow BC$ 
  - If there is an  $A$  somewhere in the input then there must be a  $B$  followed by a  $C$  in the input.
  - If the  $A$  spans from  $i$  to  $j$  in the input then there must be some  $k$  st.  $i < k < j$ 
    - i.e. The  $B$  splits from the  $C$  someplace.

## Problem

- What if your grammar isn't binary?
  - As in the case of the TreeBank grammar?
- Convert it to binary... any arbitrary CFG can be rewritten into Chomsky-Normal Form automatically.
- What does this mean?
  - The resulting grammar accepts (and rejects) the same set of strings as the original grammar.
  - **But** the resulting derivations (trees) are different.

## Problem

- More specifically, we want our rules to be of the form

$A \rightarrow B C$

Or

$A \rightarrow w$

*That is, rules can expand to either 2 non-terminals or to a single terminal.*

## Binarization Intuition

- Eliminate chains of unit productions.
- Introduce new intermediate non-terminals into the grammar that distribute rules with  $\text{length} > 2$  over several rules.
  - So...  $S \rightarrow A B C$  turns into
    - $S \rightarrow X C$  and
    - $X \rightarrow A B$

Where  $X$  is a symbol that doesn't occur anywhere else in the the grammar.

## Sample L1 Grammar

| Grammar                            | Lexicon   |
|------------------------------------|---|
| $S \rightarrow NP VP$              | $Det \rightarrow that \mid this \mid a$                               |
| $S \rightarrow Aux NP VP$          | $Noun \rightarrow book \mid flight \mid meal \mid money$              |
| $S \rightarrow VP$                 | $Verb \rightarrow book \mid include \mid prefer$                      |
| $NP \rightarrow Pronoun$           | $Pronoun \rightarrow I \mid she \mid me$                              |
| $NP \rightarrow Proper-Noun$       | $Proper-Noun \rightarrow Houston \mid NWA$                            |
| $NP \rightarrow Det Nominal$       | $Aux \rightarrow does$  |
| $Nominal \rightarrow Noun$         | $Preposition \rightarrow from \mid to \mid on \mid near \mid through$ |
| $Nominal \rightarrow Nominal Noun$ |   |
| $Nominal \rightarrow Nominal PP$   |   |
| $VP \rightarrow Verb$              |   |
| $VP \rightarrow Verb NP$           |   |
| $VP \rightarrow Verb NP PP$        |   |
| $VP \rightarrow Verb PP$           |   |
| $VP \rightarrow VP PP$             |   |
| $PP \rightarrow Preposition NP$    |   |

# CNF Conversion

| $\mathcal{L}_1$ Grammar            | $\mathcal{L}_1$ in CNF                                      |
|------------------------------------|---|
| $S \rightarrow NP VP$              | $S \rightarrow NP VP$                                       |
| $S \rightarrow Aux NP VP$          | $S \rightarrow XI VP$                                       |
|                                    | $XI \rightarrow Aux NP$                                     |
| $S \rightarrow VP$                 | $S \rightarrow book \mid include \mid prefer$               |
|                                    | $S \rightarrow Verb NP$                                     |
|                                    | $S \rightarrow X2 PP$                                       |
|                                    | $S \rightarrow Verb PP$                                     |
|                                    | $S \rightarrow VP PP$                                       |
| $NP \rightarrow Pronoun$           | $NP \rightarrow I \mid she \mid me$                         |
| $NP \rightarrow Proper-Noun$       | $NP \rightarrow TWA \mid Houston$                           |
| $NP \rightarrow Det Nominal$       | $NP \rightarrow Det Nominal$                                |
| $Nominal \rightarrow Noun$         | $Nominal \rightarrow book \mid flight \mid meal \mid money$ |
| $Nominal \rightarrow Nominal Noun$ | $Nominal \rightarrow Nominal Noun$                          |
| $Nominal \rightarrow Nominal PP$   | $Nominal \rightarrow Nominal PP$                            |
| $VP \rightarrow Verb$              | $VP \rightarrow book \mid include \mid prefer$              |
| $VP \rightarrow Verb NP$           | $VP \rightarrow Verb NP$                                    |
| $VP \rightarrow Verb NP PP$        | $VP \rightarrow X2 PP$                                      |
|                                    | $X2 \rightarrow Verb NP$                                    |
| $VP \rightarrow Verb PP$           | $VP \rightarrow Verb PP$                                    |
| $VP \rightarrow VP PP$             | $VP \rightarrow VP PP$                                      |
| $PP \rightarrow Preposition NP$    | $PP \rightarrow Preposition NP$                             |

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# CKY

- So let's build a table so that an **A** spanning from  $i$  to  $j$  in the input is placed in cell  $[i, j]$  in the table.
- So a non-terminal spanning an entire string will sit in cell  $[0, n]$ 
  - Hopefully an **S**
- If we build the table bottom-up, we'll know that the parts of the **A** must go from  $i$  to  $k$  and from  $k$  to  $j$ , for some **k**.

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