

## CS 1622: Lexical Analysis

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## Lexical Analysis

**Problem:** Want to break input into meaningful units of information

**Input:** a string of characters

**Output:** a set of partitions of the input string (tokens)

**Example:**

```
if(x==y) {
    z=1;
} else {
    z=0;
}
```

```
"if(x==y){\n\tz=1;\n} else {\n\tz=0;\n}"
```

## Tokens

**Token:** A sequence of characters that can be treated as a single local entity.

Tokens in English:

- noun, verb, adjective, ...

Tokens in a programming language:

- identifier, integer, keyword, whitespace, ...

Tokens correspond to sets of strings:

- *Identifier:* strings of letters and digits, starting with a letter
- *Integer:* a non-empty string of digits
- *Keyword:* "else", "if", "while", ...
- *Whitespace:* a non-empty sequence of blanks, newlines, and tabs

## Why Tokens?

We need to classify substrings of our source according to their role.

Since a parser takes a list of tokens as inputs, the parser relies on token distinctions:

- For example, a keyword is treated differently than an identifier

## Design of a Lexer

1. Define a finite set of tokens

- Describe all items of interest
- Depend on language, design of parser

recall `"if(x==y){\n\tz=1;\n} else {\n\tz=0;\n}"`

- Keyword, identifier, integer, whitespace
- Should "==" be one token or two tokens?

2. Describe which string belongs to which token

## Lexer Implementation

An implementation must do two things:

1. Recognize substrings corresponding to tokens
2. Return the value or lexeme of the token

A token is a tuple (*type*, *lexeme*):

```
"if(x==y){\n\tz=1;\n} else {\n\tz=0;\n}"
```

- Identifier: (id, 'x'), (id, 'y'), (id, 'z')
- Keywords: if, else
- Integer: (int, 0), (int, 1)
- Single character of the same name: ( ) = ;
- The lexer usually discards "non-interesting" tokens that don't contribute to parsing, e.g., whitespace, comments

Lexical analysis looks easy but there are problems

## Lexer Challenges

FORTRAN compilation rule: whitespace is insignificant

- Rule was motivated from the inaccuracy of card punching by operators

Consider:

- DO 5 I=1,25
- DO 5 I=1.25

- The first: a loop iterates from 1 to 25 with step 5
- The second: an assignment

Reading left-to-right, cannot tell if DO5I is a variable or DO statement until , or . is reached.

## Lexer Challenges

C++ template syntax:

```
vector<student>
```

C++ stream syntax:

```
cin >> var
```

The problem:

```
vector<vector<student>>
```

## Lexer Implementation

Two important observations:

- The goal is to partition the string. This is implemented by reading left-to-right, recognizing one token at a time.
- **Lookahead** may be required to decide where one token ends and the next one begins.

To describe tokens, we adopt a formalism based upon **Regular Languages**:

- Simple and useful theory
- Easy to understand
- Efficient implementations

## Languages

**Definition:**

Let  $\Sigma$  be a set of characters.

A **language** over  $\Sigma$  is a set of strings of the characters drawn from  $\Sigma$ .

**Examples:**

Alphabet = English characters  
Language = English sentences

Alphabet = ASCII  
Language = C programs

Not every string on English characters is an English sentence  
Not all ASCII strings are valid C programs

## Notation

Languages are **sets of strings**.

Need some notation for specifying which set we want to designate a language.

- Regular languages are those with some special properties.
- The standard notation for regular language is using a **regular expression**

## Regular Expressions

A single character denotes a set containing the single character itself:

'x' = { "x" }

Epsilon ( $\epsilon$ ) denotes an empty string (not the empty set):

$\epsilon = \{ "" \}$

Empty set is  $\{ \} = \emptyset$

size( $\emptyset$ ) = 0

size(c) = 1

length( $\epsilon$ ) = 0

## Compound REs

**Alternation:** if A and B are REs, then:

$$A | B = \{ s \mid s \in A \text{ or } s \in B \}$$

**Concatenation** of sets/strings:

$$AB = \{ ab \mid a \in A \text{ and } b \in B \}$$

**Repetition** (Kleene closure):

$$A^* = \bigcup_{i \geq 0} A^i \text{ where } A^i = A \dots A \text{ (i times)}$$

$$A^* = \{ \epsilon \} + A + AA + AAA + \dots \quad (\text{zero or more As})$$

## Convenient Abbreviations

One or more:

$$A^+ = A + AA + AAA + \dots = AA^* \quad (\text{one or more As})$$

Zero or one:

$$A? = A | \epsilon$$

**Character class:**

$$[abcd] = a | b | c | d$$

**Wildcard:**

. (dot) matches any character (sometimes excluding newline)

## Examples

Regular expressions to determine Java keywords:

```
if | else | while | for | int | ...
```

A literal string like "if" is shorthand for the concatenation of each letter

Integer literal:

```
digit = 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9
digit = [0123456789]
digit = [0-9]
```

```
integer = digit digit*
integer = digit+
```

Is this good enough?

## Examples

Whitespace:

```
whitespace = [ \t\n]
```

C identifiers:

Start with a letter or underscore  
Allow letters or underscores or numbers after the first letter  
Cannot be a keyword

```
id = [a-zA-Z_][a-zA-Z_0-9]*
```

## Examples

Valid Email Addresses:

```
(?:[a-z0-9!#$%&'*/=?^_`{|}~]+(?:\.[a-z0-9!#$%&'*/=?^_`{|}~]+)*)*(?:[\x01-\x08\x0b\x0c\x0e-\x1f\x21\x23-\x5b\x5d-\x7f]|\[\[\x01-\x09\x0b\x0c\x0e-\x7f]\])*)@(?:[a-z0-9](?:[a-z0-9-]*[a-z0-9])?\.|[a-z0-9](?:[a-z0-9-]*[a-z0-9])?|\[(?:25[0-5]|2[0-4][0-9]|[01]?[0-9][0-9]?)\.\.){3}(?:25[0-5]|2[0-4][0-9]|[01]?[0-9][0-9]?)?[a-z0-9-]*[a-z0-9]:(?:[\x01-\x08\x0b\x0c\x0e-\x1f\x21-\x5a\x53-\x7f]|\[\[\x01-\x09\x0b\x0c\x0e-\x7f]\])?)\]
```

## Java RegEx Support

```
import java.util.regex.Pattern;
import java.util.regex.Matcher;
```

```
Pattern p = Pattern.compile("a*b");
Matcher m = p.matcher("aaaaab");
boolean b = m.matches();
```

Or:

```
boolean b = Pattern.matches("a*b", "aaaaab");
```

String class:

```
String s = new String("aaaaab");
boolean b = s.matches("a*b");
```

## Predefined Patterns in Java

| Pattern | Description   |
|---------|---|
| [abc]   | a, b, or c (simple class)                             |
| [^abc]  | Any character except a, b, or c (negation)            |
| \d      | A digit: [0-9]  |
| \D      | A non-digit: [^0-9]                                   |
| \s      | A whitespace character: [ \t\n\x0B\f\r]               |
| \S      | A non-whitespace character: [^\s]                     |
| \w      | A word character: [a-zA-Z_0-9]                        |
| \W      | A non-word character: [^\w]                           |
| ^       | The beginning of a line                               |
| \$      | The end of a line                                     |
| \b      | A word boundary                                       |
| \B      | A non-word boundary                                   |
| X{n}    | X, exactly <i>n</i> times                             |
| X{n,}   | X, at least <i>n</i> times                            |
| X{n,m}  | X, at least <i>n</i> but not more than <i>m</i> times |